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**HOM**  
 Threadsafe design  
 Confinement (revisited)  
 Java monitor pattern  
 Delegating thread safety

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 Confinement (revisited)  
 Java monitor pattern  
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**Threadsafe design**

The design process for a threadsafe class should include three basic elements:

- Identify the variables (members) that form the object's state;
- Identify the invariants that constrain the state variables;
- Establish a policy for managing concurrent access to the objects state.

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**A threadsafe class**

```

    @ThreadSafe
    public final class Counter {
        @GuardedBy("this") private long value = 0;

        public synchronized long getValue() {
            return value;
        }

        public synchronized long increment() {
            if (value == Long.MAX_VALUE)
                throw new IllegalStateException("counter_overflow");
            return ++value;
        }
    }
    
```

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**Counter object used as monitor**

```

sequenceDiagram
    participant t1 as t1-Thread
    participant t2 as t2-Thread
    participant C as :Counter

    t1->>C: [lock acquired]increment()
    activate C
    t2->>C: [blocked]getValue()
    deactivate C
    t1->>C: [lock acquired]
    activate C
    C-->>t2: value
    deactivate C
    
```

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### Synchronisation requirements

- You **cannot** ensure threadsafety without understanding an object's invariants and postconditions. Constraints on the valid values or state transtions for state variables can create atomicity and encapsulation requirements.
- E.g. the Counter class:
  - the state is determined by the value of value.
  - Counter has a constraint: value is not negative.
  - increment() has a postcondition: the only valid state is that value is indeed incremented by 1.

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### Confinement revisited

- Encapsulating data within an object confines access to the data to the object's methods, making it easier to ensure the data is always accessed with the appropriate lock held.

```
@ThreadSafe
public class PersonSet {
  @GuardedBy("this")
  private final Set<Person> mySet = new HashSet<Person>();

  public synchronized void addPerson(Person p) {
    mySet.add(p);
  }

  public synchronized boolean containsPerson(Person p) {
    return mySet.contains(p);
  }
}

interface Person {
}
```

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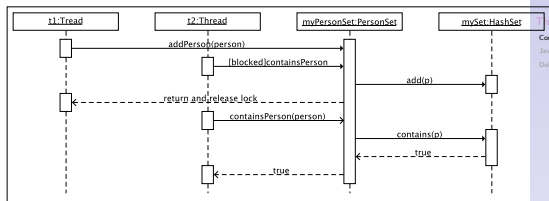
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### PersonSet object used as monitor



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### Confinement makes threadsafeness easier

- The state of class PersonSet is managed by a HashSet which is not threadsafe, but mySet is private and not allowed to escape: *confinement*.
- Access methods addPerson and containsPerson acquire a lock on the PersonSet. Therefore PersonSet is *threadsafe*.
- Confinement makes it easier to build thread-safe classes because a class that confines its state can be analyzed for thread safety without having to examine the whole program.

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### Monitor programming model

- The monitor as defined by Hoare is similar but not the same as the Java model.
  - Hoare's monitor makes, in Java terms, all methods in a class `synchronized`. In the Java variant, locking is reentrant (by the same Thread).
- In the Java monitor pattern, all the object's state is encapsulated by the object and guarded by the object's own intrinsic lock.
- This is the lock you use when you make a method `synchronized`. As an example: see the Counter class.

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### Using an auxiliary object as lock

The instance `myLock` of type `Object` is used as a lock. This is a typical Java idiom or 'Pattern'.

```
public class PrivateLock {
  private final Object myLock = new Object();
  @GuardedBy("myLock") Widget widget;

  void someMethod() {
    synchronized (myLock) {
      // Access or modify the state of widget
    }
  }
}
```

There is a pattern here! *The java Monitor-pattern.*

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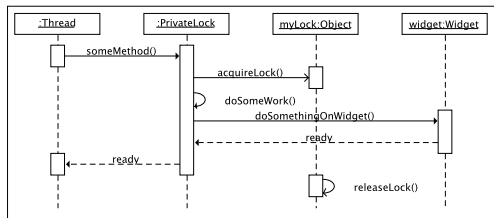
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### PrivateLock



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### Delegating thread safety

Monitor-based vehicle tracker implementation: thread safety although `MutablePoint` is not thread-safe.

```
@ThreadSafe
public class MonitorVehicleTracker {
  @GuardedBy("this")
  private final Map<String, MutablePoint> locations;

  public MonitorVehicleTracker(Map<String,
    MutablePoint> locations) {
    this.locations = deepCopy(locations);
  }

  public synchronized Map<String, MutablePoint> getLocation()
  return deepCopy(locations);
}

public synchronized MutablePoint getLocation(String id)
MutablePoint loc = locations.get(id);
return loc == null ? null : new MutablePoint(loc);
}
```

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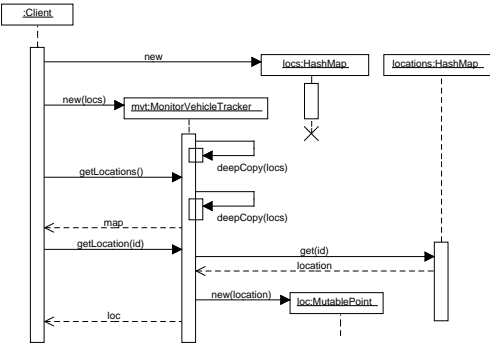
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### MonitorVehicleTracker sequence diagram



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### Immutable Point class: Mwaha!

```

@NotThreadSafe
public class MutablePoint {
    public int x, y;

    public MutablePoint() {
        x = 0;
        y = 0;
    }

    public MutablePoint(MutablePoint p) {
        this.x = p.x;
        this.y = p.y;
    }
}
  
```



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### Immutable Point

If the components of our class are already thread safe, do we need an additional layer of thread safety?

```

@Immutable
public class Point {
    public final int x, y;

    public Point(int x, int y) {
        this.x = x;
        this.y = y;
    }
}
  
```

See next slide for the DelegatingVehicleTracker which uses a thread-safe (immutable) Point class and a thread-safe Map implementation to store the locations using immutable points.

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### Delegating thread safety

Method getLocations() returns a 'live' view of the vehicle locations.

If thread A calls getLocations() and thread B later modifies the location of some of the points, those changes are reflected in the Map returned earlier to thread A.

```

@ThreadSafe
public class DelegatingVehicleTracker {
    private final ConcurrentMap<String, Point> locations;
    private final Map<String, Point> unmodifiableMap;

    public DelegatingVehicleTracker(Map<String, Point> points) {
        locations = new ConcurrentHashMap<String, Point>(points);
        unmodifiableMap = Collections.unmodifiableMap(locations);
    }

    public Map<String, Point> getLocations() {
        return unmodifiableMap;
    }
}
  
```

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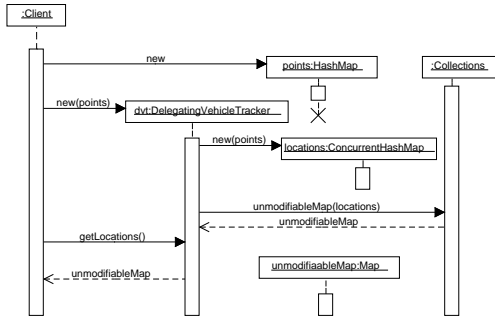
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### DelegatingVehicleTracker sequence diagram



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### Independent state variables

Delegation to more state variables is possible if these are independent. See the VisualComponent class with two List's: one for KeyListeners and one for MouseListeners.

```

public class VisualComponent {
    private final List<KeyListener> keyListeners
        = new CopyOnWriteArrayList<KeyListener>();
    private final List<MouseListener> mouseListeners
        = new CopyOnWriteArrayList<MouseListener>();

    public void addKeyListener(KeyListener listener) {
        keyListeners.add(listener);
    }

    public void addMouseListener(MouseListener listener) {
        mouseListeners.add(listener);
    }
}
  
```

Placing an object in a CopyOnWriteArrayList, safely publishes it to any thread that receives it from the collection.

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### When delegation fails

Two atomic integers with an additional constraint:



```

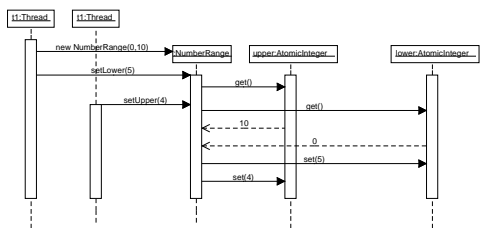
public class NumberRange {
    // INVARIANT: lower <= upper
    private final AtomicInteger lower = new AtomicInteger(0);
    private final AtomicInteger upper = new AtomicInteger(0);

    public void setLower(int i) {
        // Warning — unsafe check-then-act
        if (i > upper.get())
            throw new IllegalArgumentException(
                "can't set lower to " + i + " > upper");
        lower.set(i);
    }

    public void setUpper(int i) {
        // Warning — unsafe check-then-act
    }
}
  
```

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### NumberRange class does not sufficiently protect its invariants



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**When delegation fails**

Delegation threadsafety to two thread-safe variables: invariant is not preserved!

How could we make `NumberRange` thread-safe?

- Use locking to maintain the invariants by guarding upper and lower by a single lock

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**When delegation fails**

Delegation threadsafety to two thread-safe variables: invariant is not preserved!

How could we make `NumberRange` thread-safe?

- Use locking to maintain the invariants by guarding upper and lower by a single lock
- avoid publishing lower and upper to avoid ruining it's invariants.

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**When delegation fails**

Delegation threadsafety to two thread-safe variables: invariant is not preserved!

How could we make `NumberRange` thread-safe?

- Use locking to maintain the invariants by guarding upper and lower by a single lock
- avoid publishing lower and upper to avoid ruining it's invariants.

**Definition**

If a class is composed of multiple *independent* thread-safe state variables and has no operations that have any invalid state transitions, then it can delegate thread safety to the underlying state variables.

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**Publishing underlying state variables**

- Under what conditions can you publish those state variables?

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**Publishing underlying state variables**

- Under what conditions can you publish those state variables?
- It depends! on what invariants your class imposes on those variables.

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**Publishing underlying state variables**

- Under what conditions can you publish those state variables?
- It depends! on what invariants your class imposes on those variables.
- Making the state variable value of the Counter class `public` (=publishing!) clients could change it into an invalid value.

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**Publishing underlying state variables**

- Under what conditions can you publish those state variables?
- It depends! on what invariants your class imposes on those variables.
- Making the state variable value of the Counter class `public` (=publishing!) clients could change it into an invalid value.
- Don't publish if you don't need to!

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**Publishing underlying state variables**

- Under what conditions can you publish those state variables?
- It depends! on what invariants your class imposes on those variables.
- Making the state variable value of the Counter class `public` (=publishing!) clients could change it into an invalid value.
- Don't publish if you don't need to!
- If there aren't any constraints, you can publish without compromising thread safety.

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### Publishing underlying state variables

- Under what conditions can you publish those state variables?
- It depends! on what invariants your class imposes on those variables.
- Making the state variable value of the Counter class `public` (=publishing!) clients could change it into an invalid value.
- Don't publish if you don't need to!
- If there aren't any constraints, you can publish without compromising thread safety.

#### Definition

If a state variable is thread-safe, does not participate in any invariants that constrain its value, and has no prohibited state transitions for any of its operations, then it can safely be published.

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### A SafePoint class

```
@ThreadSafe
public class SafePoint {
    @GuardedBy("this") private int x, y;

    private SafePoint(int[] a) {
        this(a[0], a[1]);
    }

    public SafePoint(SafePoint p) {
        this(p.get());
    }

    public SafePoint(int x, int y) {
        this.x = x;
        this.y = y;
    }

    public synchronized int[] get() {
        return new int[] {x, y};
    }

    public synchronized void set(int x, int y) {
        this.x = x;
        this.y = y;
    }
}
```

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### The PublishingVehicleTracker class

- SafePoint returns both x and y values at once by returning an array without undermining thread safety.
- Note the use of a private constructor in SafePoint!

```
@ThreadSafe
public class PublishingVehicleTracker {
    private final Map<String, SafePoint> locations;
    private final Map<String, SafePoint> unmodifiableMap;

    public PublishingVehicleTracker(Map<String, SafePoint> locations) {
        this.locations =
            new ConcurrentHashMap<String, SafePoint>(locations);
        this.unmodifiableMap =
            Collections.unmodifiableMap(this.locations);
    }

    public Map<String, SafePoint> getLocations() {
        return unmodifiableMap;
    }

    public SafePoint getLocation(String id) {
        return locations.get(id);
    }
}
```

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### The PublishingVehicleTracker class

- Delegation to the underlying Concurrenthashmap secures thread safety in PublishingVehicleTracker.
- getLocations() returns an unmodifiable copy of the underlying map.
- A caller of getLocations() could change the locations of the veicles but cannot add or remove vehicles themselves.
- If there are additional constraints on the valid values of vehicle locations PublishingVehicleTracker is not thread safety anymore.

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### Adding functionality

- We have a thread-safe class!!
- We want to add a new operation without undermining its thread-safety.
- Example: put-if-absent operation. Should be atomic.
- You do not own the Vector code, so use subclassing.

```
@ThreadSafe
public class BetterVector <E> extends Vector<E> {
    // When extending a serializable class,
    // you should redefine serialVersionUID
    static final long serialVersionUID = -3963416950630760754L;

    public synchronized boolean putIfAbsent(E x) {
        boolean absent = !contains(x);
        if (absent)
            add(x);
        return absent;
    }
}
```

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### Client-side locking

- What if you don't know your collection type?
- E.g. by using the Collections.synchronizedList wrapper.
- Then use a "helper" class:

```
@NotThreadSafe
class BadListHelper <E> {
    public List<E> list =
        Collections.synchronizedList(new ArrayList<E>());

    public synchronized boolean putIfAbsent(E x) {
        boolean absent = !list.contains(x);
        if (absent)
            list.add(x);
        return absent;
    }
}
```

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### Client-side locking - 2

- Why doesn't this work?
- putIfAbsent is synchronized!
- It uses the *wrong lock!* (illusion of synchronization)
- Use the same lock that the list uses ...!
- 'Check-then-act' also here:
- The documentation on Vector and on synchronized wrapper classes shows that they support client-side locking.

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### Client-side locking - 3

- OK now.

```
@ThreadSafe
class GoodListHelper <E> {
    public final List<E> list =
        Collections.synchronizedList(new ArrayList<E>());

    public boolean putIfAbsent(E x) {
        synchronized (list) {
            boolean absent = !list.contains(x);
            if (absent)
                list.add(x);
            return absent;
        }
    }
}
```

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